FORM **SSV-2** (6-13-2014)



# SURVEY OF SEXUAL VICTIMIZATION, 2013 State Prison Systems Summary Form

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Administration
U.S. CENSUS BUREAU

	ATTENDED OF								
DATA SUPPLIED BY									
Name Robert Fl	aherty		Title Chief, Data Analysis Division						
			Omor, Data Analysis Division						
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number 1920 Technology Parkway			City Mechanicsburg	State PA	ZIP Code 17050			
TELEPHONE	Area code 717	Number <b>7284056</b>	FAX NUMBER	Area Code 717	Number <b>7284180</b>				
E-MAIL ADDRESS	rflaherty@pa.gov								

39 0 000 000 07 00000 039 00 Pennsylvania Department of Corrections

(Please correct any error in name, mailing address, and ZIP Code)

#### What facilities are included in this data collection?

All State-operated confinement facilities that are intended for adults but sometimes hold juveniles.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.
- INCLUDE State-operated local detention facilities in Alaska, Connecticut, Delaware, Hawaii, Rhode Island, and Vermont.
- EXCLUDE privately operated facilities and facilities operated and administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)
- EXCLUDE facilities that hold only juveniles. (These facilities will be contacted directly for data on sexual victimization.)

# What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2013, and December 31, 2013.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.

# **Reporting instructions:**

- Please complete the entire SSV-2 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "zero," mark the box (☒) provided.

# Substantiated incidents of sexual violence:

 Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

# Returning forms:

- If you need assistance, please call Greta Clark at the U.S. Census Bureau toll-free at 1-800-253-2078, or e-mail govs.ssv@census.gov
- Please return your completed questionnaire and substantiated incident forms by September 1, 2014.
- You may complete these forms online (see enclosed instructions.) Or if you prefer, you may return these forms by mail or fax.
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- FAX (TOLL FREE): 1-888-262-3974

# **Burden Statement**

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

# Section I - INMATE-ON-INMATE SEXUAL VICTIMIZATION

# **DEFINITIONS**

The survey utilizes the definition of "sexual abuse" as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into three categories of inmate-on-inmate sexual victimization. These categories are:

# **NONCONSENSUAL SEXUAL ACTS**

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

#### AND

 Contact between the penis and the vulva or the penis and the anus including penetration, however slight;

#### OR

 Contact between the mouth and the penis, vulva, or anus;

#### OR

 Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

# **ABUSIVE SEXUAL CONTACT**

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

# **AND**

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- EXCLUDE incidents in which the contact was incidental to a physical altercation.

# **SEXUAL HARASSMENT**

Repeated and unwanted sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

1. Does your State prison system record allegations of inmate-on-inmate **NONCONSENSUAL SEXUAL ACTS?**  $_{01}$  X Yes  $\rightarrow$  a. Do you record all reported occurrences, or only substantiated ones? 01 X All 02 Substantiated only b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones? 01 Both attempted and completed 02 Completed only 02 No → Please provide the definition used by your State prison system for inmate-on-inmate NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete Items 2 and 3. 2. Between January 1, 2013, and December 31, 2013, how many allegations of inmate-on-inmate **NONCONSENSUAL SEXUAL ACTS were reported?** X None Number reported . . . . . . If an allegation involved multiple victimizations, count only once. Exclude any allegations that were reported as consensual. 3. Of the allegations reported in Item 2, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.) 2 X None a. Substantiated . . . . . . The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72). 29 X None b. Unsubstantiated . . . . The investigation concluded that evidence was insufficient to determine whether or not the event occurred. X None c. Unfounded . . . . . . . . . • The investigation determined that the event did NOT occur. 1 X None d. Investigation ongoing Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items

3a through 3d)

50

• The total should equal the number reported in Item 2.

X None

	Does your State prison sys allegations of inmate-on-in SEXUAL CONTACT? (See de	mate ABUSIVE	7. Does your State prison system record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)						
	01 X Yes → Can these be co allegations of NO SEXUAL ACTS?	unted separately from ONCONSENSUAL	01 X Yes → Do you record all reported allegations or only substantiated ones?						
	01 X Yes 02 □ No → Skip to Ite.	m 7.	01 X All 02 ☐ Substantiated only						
	D2 ☐ No → Please provide an e below and then skip	explanation in the space to Item 7.	o2 ☐ No → Please provide an explanation in the space below and then skip to Section II.						
ŀ	Between January 1, 2013, a now many allegations of in ABUSIVE SEXUAL CONTAC	nate-on-inmate	8. Between January 1, 2013, and December 31, 2013, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?						
	Number reported		Number reported						
•	Exclude any allegations that w consensual.	ere reported as	<ul> <li>Exclude any allegations that were reported as consensual.</li> </ul>						
ľ	Of the allegations reported many were — (Please contact responsible for investigating alleg victimization in order to fully comp	the agency or office vations of sexual	9. Of the allegations reported in Item 8, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)						
í	a. Substantiated	4 X None	a. Substantiated						
ı	b. Unsubstantiated	<b>X</b> None	<b>b. Unsubstantiated</b>						
	c. Unfounded	1 X None	c. Unfounded 1 X None						
(	d. Investigation ongoing	X None	d. Investigation ongoing 🗵 None						
•	e. TOTAL (Sum of Items 6a through 6d)	41 X None number reported in	e. TOTAL (Sum of Items 9a through 9d)						

# SECTION II – STAFF-ONINMATE SEXUAL ABUSE

# **DEFINITIONS**

The survey utilizes the definition of "sexual abuse" by a staff member, contractor or volunteer as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-inmate sexual abuse. These categories are:

# **STAFF SEXUAL MISCONDUCT**

Any behavior or act of sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include—

 Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

#### OF

Completed, attempted, threatened, or requested sexual acts;

# **OR**

 Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

# STAFF SEXUAL HARASSMENT

Repeated verbal statements, comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

 Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

# OR

Repeated profane or obscene language or gestures.

10.	<b>Does your State prison system recor</b>	d
	allegations of STAFF SEXUAL	
	MISCONDUCT?	

01 X Yes → Do you record all reported occurrences, or only substantiated ones?
01 X All
<sub>02</sub> Substantiated only
02 ☐ No → Please provide an explanation in the space below and then skip to Item 13.

11. Between January 1, 2013, and December 31, 2013, how many allegations of STAFF SEXUAL MISCONDUCT were reported?

Numbe	er re	por	ted	 			12	2	_ [X]	Nor

- If an allegation involved multiple victimizations, count only once.
- **12.** Of the allegations reported in Item 11, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated	5	X None
b. Unsubstantiated	70	X None
c. Unfounded	47	X None
d. Investigation ongoing		X None
e. TOTAL (Sum of Items 12a through 12d)	122	X None

 The total should equal the number reported in Item 11.

13.	Does your State prison systems of STAFF SEVIIA	em recor	rd SSMENT2	Section III - PRIVATE AND LOCAL ALLEGATIONS				
	allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 4.)  11			<ul> <li>16. Did any of the allegations reported in Items 2, 5, 8, 11, or 14 occur in a privately operated facility?</li> <li>11 Yes</li> <li>12 No</li> <li>17. Did any of the allegations reported in Items 2, 5, 8, 11, or 14 occur in a facility operated and administered by local governments?</li> <li>11 Yes</li> <li>12 No</li> </ul>				
				Section IV – TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION				
				18. What is the total number of substantiated incidents reported in Items 3a, 6a, 9a, 12a, and 15a?				
				Total substantiated incidents				
14.	Between January 1, 2013, a December 31, 2013, how ma of STAFF SEXUAL HARASSM reported?	any alleg	gations ere	→ Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.				
	Number reported	223	X None	NOTES				
	If an allegation involved multiple count only once.							
15.	Of the allegations reported many were — (Please contact to responsible for investigating allegation victimization in order to fully compared to the compared t	the agency ations of s	y or office exual					
	a. Substantiated	4	_ X None					
	b. Unsubstantiated	191	_ X None					
	c. Unfounded	28	None X					
	d. Investigation ongoing .		_ X None					
	e. TOTAL (Sum of Items 15a through 15d)	223	None					
	The total should equal the n Item 14.	umber rep	orted in					