PREA Facility Audit Report: Final

Name of Facility: SCI Somerset
Facility Type: Prison / Jail
Date Interim Report Submitted: NA
Date Final Report Submitted: 06/29/2017

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	☑
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	☑
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	☑
Auditor Full Name as Signed: Cynthia Radtke	**Date of Signature:** 06/29/2017

AUDITOR INFORMATION	
Auditor name:	Radtke, Cynthia
Address:	
Email:	Cynthia.Radtke@wisconsin.gov
Telephone number:	
Start Date of On-Site Audit:	05/15/2017
End Date of On-Site Audit:	05/16/2017

FACILITY INFORMATION	
Facility name:	SCI Somerset
Facility physical address:	1590 Walters Mill Rd, Somerset, Pennsylvania - 15510
Facility Phone	814-443-8100
Facility mailing address:	
The facility is:	○ County ○ Federal ○ Municipal ◉ State ○ Military ○ Private for profit ○ Private not for profit
Facility Type:	◉ Prison ○ Jail

Primary Contact			
Name:	Allen G. Joseph	**Title:**	Programs Manager/ PREA Compliance Manager
Email Address:	ajoseph@pa.gov	**Telephone Number:**	814-443-8100[redacted]

Warden/Superintendent			
Name:	Trevor A. Wingard	**Title:**	Superintendent 2
Email Address:	twingard@pa.gov	**Telephone Number:**	814-443-8100

Facility PREA Compliance Manager			
Name:	Allen Joseph	**Email Address:**	ajoseph@pa.gov

Facility Health Service Administrator			
Name:	Brian Hyde	**Title:**	Corrections Health Care Administrator
Email Address:	bhyde@pa.gov	**Telephone Number:**	814-443-8100[redacted]

Facility Characteristics		
Designed facility capacity:	1578	
Current population of facility:	2309	
Age Range	Adults: 19-83	Youthful Residents:
Facility security level/inmate custody levels:	2, 3, 4, 5	
Number of staff currently employed at the facility who may have contact with inmates:	508	

AGENCY INFORMATION	
Name of agency:	Pennsylvania Department of Corrections
Governing authority or parent agency (if applicable):	
Physical Address:	1920 Technology Parkway, Mechanicsburg, Pennsylvania - 17050
Mailing Address:	
Telephone number:	(717) 728-2573

Agency Chief Executive Officer Information:			
Name:	John Wetzel	**Title:**	Secretary
Email Address:	[redacted]	**Telephone Number:**	[redacted]

Agency-Wide PREA Coordinator Information			
Name:	David Radziewicz	**Email Address:**	dradziewic@pa.gov

AUDIT FINDINGS

Narrative:
The auditor's description of the audit methodology should include a detailed description of the following processes during the pre-audit, on-site audit, and post-audit phases: documents and files reviewed, discussions and types of interviews conducted, number of days spent on-site, observations made during the site-review, and a detailed description of any follow-up work conducted during the post-audit phase. The narrative should describe the techniques the auditor used to sample documentation and select interviewees, and the auditor's process for the site review.

The Prison Rape Elimination Act (PREA) Audit of the State Correctional Institution (SCI) Somerset was conducted on May 15, 2017 to May 16, 2017 by Cynthia Radtke, from Waupun, Wisconsin, a U.S. Department of Justice Certified PREA Auditor for adult facilities and accompanied by Emil Toney, from Oshkosh, Wisconsin, a U.S. Department of Justice Certified PREA Auditor for adult facilities. Pre-audit preparation included a thorough review of all documentation and materials submitted by the facility along with the data included in the completed Pre-Audit Questionnaire (PAQ). The documentation reviewed included agency policies, procedures, forms, training materials, electronic communications, institution organizational chart, posters, brochures, handouts and other relevant materials that were provided by SCI Somerset to demonstrate compliance with the PREA standards. Additionally, the prior documented PREA audit was reviewed by accessing the information through the public website.

SCI Somerset was instructed to provide a notice of the PREA audit to all staff and inmates. The notice form was provided to the facility and contained contact information for the auditor, informing SCI Somerset staff and inmates that confidential correspondence may be submitted to the listed address. Proof that this audit notice was posted throughout the facility was not requested, as the auditor received confidential correspondence from two inmates at the facility. This correspondence was reviewed and the concerns were discussed with the Acting PREA Compliance Manager for follow up.

An entrance meeting was held the morning of the onsite audit with the following persons: Deputy Superintendent for Facilities Management Melissa Hainsworth, Deputy Superintendent for Centralized Services Joseph Mazurkiewicz, Major of Unit Management Mark Price, Major of the Guards Craig Wadsworth, PREA Lieutenant Doug Abbott, Acting PCM Melanie Pyle, PREA Coordinator David Radziewicz, PREA Compliance Administrative Officer 2 David Fisher and Administrative Officer Pam Warchola. PREA Compliance Manager Allen Joseph was unable to be present for the onsite audit but the auditor would like to recognize his hard work and dedicated efforts in ensuring the facility was complaint with all PREA standards.

After the entrance meeting, a tour of the facility was conducted and the auditor was given access to all areas of the facility including; all general population housing units, Restrictive Housing Unit (RHU), control room, warehouse, auto shop, central plant, visitation, medical/infirmary, receiving and discharge, dining, dietary, commissary, maintenance, laundry, program services, chapel, library, law library, education, gymnasium, and the barber shop. Throughout the tour, informal interviews were conducted with inmates and staff.

Observations related to PREA included poster placements, audit notices, physical layout of the structures, and placement of the video monitoring equipment were made. The auditor noted video monitoring improvements were made since the facility's last PREA audit to ensure the added presence of video monitoring of areas and their activities, aiding in the continued prevention of inmate sexual

victimization. Specific observations were to the ongoing camera upgrade in the warehouse and updated video monitoring capabilities in dietary.

The inmate count on the first day of the audit was 2267. The inmate count on the final day of the audit was 2257.

Formal interviews were conducted with facility staff, inmates and contractors in a private area. The auditor held formal interviews with 14 inmates, one from each of the housing units as well as inmates from each interview category. The total number of interviewed inmates during the on-site audit was 14.

Stratified sampling was used in selection of candidates from the following inmate subgroups: inmates who reported sexual victimization; disabled/LEP inmates; transgender and intersex inmates, gay, and bisexual inmates; inmates who discussed sexual victimization during risk screening. The interview response rate was 100%, as no inmates refused to answer the auditors' questions.

Staff interviews included random selection of 7 security personnel representing all three shifts (1st shift 0600-1400; 2nd shift 1400-2200; and 3rd shift 2200-0600).

Stratified sampling was used in selection of one staff member from each of the following staff subgroups: Intermediate or higher-level facility staff; Medical and mental health staff; Human Resources staff; SAFE and SANE providers; Volunteers and contractors who have contact with inmates; Investigative staff; Staff who perform screening for risk of victimization and abusiveness; Staff who supervise inmates in segregated housing; Staff on the incident review team; Designated staff member charged with monitoring retaliation; First responders, both security and non-security staff; and Intake staff. Also interviewed were the Institution Deputy Superintendent, and acting PREA Compliance Manager. The total number of interviewed staff during the on-site audit was 22. Interview response rate was 100%, as no staff refused to answer the auditors' questions.

DOJ recommended protocols were used to interview staff and inmates. Inmates were questioned on the knowledge of their rights to be free from sexual victimization; institution reporting mechanisms to report instances of sexual abuse or sexual harassment and other general knowledge of protection afforded by the PREA. Staff were questioned on their responsibility to respond to allegations of inmate sexual abuse and sexual harassment in confinement; training related to PREA incidents; response protocols; reporting mechanisms available to staff and inmates; as well as overall knowledge of the agency’s zero tolerance policy.

Throughout the pre-audit and onsite audit, open and positive communication was established between the auditor and facility staff. During this time, the auditor discussed any concerns with acting PREA Compliance Manager Melanie Pyle. Through a coordinated effort by the staff at SCI Somerset, all issues were addressed and corrected to the satisfaction of the auditor prior to the completion of the final report.

When the audit was completed, the auditor conducted an exit briefing on May 16, 2017. The auditor gave an overview of the audit process and recognized the efforts of all SCI Somerset staff their hard work and commitment to the Prison Rape Elimination Act.

AUDIT FINDINGS

Facility Characteristics:
The auditor's description of the audited facility should include details about the type of the facility, demographics and size of the inmate or resident population, numbers and type of staff positions, configuration and layout of the facility, numbers of housing units, description of housing units including any special housing units, a description of programs and services, including food service and recreation.

SCI Somerset was built in 1993 and is situated on 303 acres with 63 acres inside the perimeter. The facility is located outside of Somerset, Pennsylvania, about 70 miles southeast of Pittsburg in Somerset County. It has a designated facility capacity of 1578 with a current inmate population between the ages of 19 and 83. There are 28 operational structures including 10 multiple occupancy cell housing units. Housing units consist of both cells and dormitory-style housing and an infirmary with single and double occupancy. SCI Somerset is a Level 3 custody facility, however, houses inmates with custody levels 2 through 5.

SCI Somerset provides academic and vocational education to inmates housed at the facility. The academic programs include Adult Basic Education, Commonwealth Secondary Diplomas, English Second Language and General Education Diplomas. The vocational programs include Business Education, Carpernty, Custodial Maintenance, Barber Licensing, Electrical, HVAC, Plumbing and Vinyl Siding.

SCI Somerset provides inmate programming and intervention on the topics of family and relationships, re-entry, offense related, mental health, alcohol and drug, and sex offender programs.

SCI Somerset has a Correctional Industries Laundry that processes 10.5 million plus pounds of laundry per year. They provide laundry services to 18 customers in the Department of Corrections as well as Department of Public Welfare, Veterans Homes and Universities. There is an average of 72 inmates per shift and the laundry is run for two shifts per day.

The facility has a community work program where inmates perform duties such as painting churches, performing cleaning, painting and landscaping work for public and private schools, cleaning and grounds keeping work at Flight 93 Memorial, building and cleaning for local Fire and Police Departments, and unloading supplies for local food pantries.

SCI Somerset is also one of 11 prisons that has partnered with Canine Partners for Life, a Chester County based organization dedicated to training service dogs. Inmates at SCI Somerset care for, train and socialize puppies until the dogs are ready to move on to their next phase of service training. The service dogs and their inmate trainers are designated to one unit and inmates who want to participate in the program must complete a formal application which includes an essay and an interview.

AUDIT FINDINGS

Summary of Audit Findings:
The summary should include the number of standards exceeded, number of standards met, and number of standards not met, along with a list of each of the standards in each category. If relevant, provide a summarized description of the corrective action plan, including deficiencies observed, recommendations made, actions taken by the agency, relevant timelines, and methods used by the auditor to reassess compliance.

Number of standards exceeded:	2
Number of standards met:	37
Number of standards not met:	0
Number of Standards Not Applicable: (The total number of standards that were audited at the agency level)	6

During the onsite audit and a through review of the documentation provided for the Pre Audit Questionnaire, it was determined that SCI Somerset has reached substantial compliance with the PREA standards.

Overall Compliance As Reflected in the Final Compliance Report:

Number of standards exceeded: 2
Number of standards met: 37
Number of standards not met: 0
Number of standards not applicable: 6
Total Standards: 45

Standards
Auditor Overall Determination Definitions
• Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions)
Auditor Discussion Instructions
Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	SCI Somerset operates under the Department wide policy DC-ADM 008 Prison Rape Elimination Act (PREA). The policy has been updated since the facilities' last audit and has an effective date of September 22, 2016. DC-ADM 008 Prison Rape Elimination Act (PREA) states it is the policy of the Department to prohibit any form of sexual abuse and/or sexual harassment of an inmate. The Department has a zero tolerance for sexual abuse or sexual harassment of any individual under the supervision of the Department. Anyone who engages in, fails to report, or knowingly condones sexual abuse or sexual harassment of an inmate shall be subject to disciplinary action, up to and including termination, and may be subject to criminal prosecution. The policy details the extent of sanctions regarding staff, contractors and volunteers as well as inmate discipline. The Department will take appropriate actions to ensure zero tolerance toward all forms of sexual abuse and sexual harassment in order to promote the safety of inmates. The Department will implement Federal Prison Rape Elimination Act (PREA) Standards to ensure that all aspects of operations work toward preventing, detecting, and responding to such conduct resulting in a safer environment. The Department shall designate a statewide PREA Coordinator to develop, implement and oversee the Department's efforts to comply with the National PREA Standards across all facilities; and each facility shall designate a PREA Compliance Manager to coordinate the facility's efforts to comply with the Standards. The statewide PREA Coordinator shall report directly to the Director of the Bureau of Standards, Audits, and Accreditation. The Corrections Classification and Program Manager (CCPM) has been designated as the PREA Compliance Manager (PCM) at each facility and shall be given sufficient time and authority to coordinate the facilities' compliance with Department policy and federal PREA standards. The CCPM serves as the PREA Compliance Manager (PCM) for SCI Somerset and is noted in the agency's organizational structure. The PCM serves under the Deputy Superintendent for Centralized Services. The policy includes definitions of prohibited behaviors regarding sexual abuse and sexual harassment located in the Glossary of Terms. DC-ADM 008 SMR 001 Prison Rape Elimination Act (PREA) Local PREA Procedures Manual states SCI Somerset adheres to a zero-tolerance policy with respect to sexual abuse and sexual harassment and details how the facility will implement the prevention, detection and response to sexual abuse and sexual harassment. DC-ADM 801 Inmate Discipline outlines prohibited behavior by inmates and the sanctions for

	violations of Department rules and regulations. During the interview with the acting PCM, she indicated she has sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards. The facility also employs an Administrative Officer 1 (AO1) who provides administrative support to the PCM and serves as the point of contact for PREA related issues within the facility. The facility also employs a PREA Lieutenant who works in conjunction with the PCM at the facility.

115.12	Contracting with other entities for the confinement of inmates
	Auditor Overall Determination: Audited at Agency Level
	Auditor Discussion
	The responsibility for compliance of 115.12 rests with the agency, not the facility. Memorandum of Understandings (MOU) for Cambria, Chester and Montgomery counties were provided for whom the DOC has entered into agreements. These MOUs include PREA specific language. The agency has not entered into any new contracts since the last PREA audit. SCI Somerset itself does not have any contracts for the confinement of inmates.

115.13	Supervision and monitoring
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	DC-ADM 008 Prison Rape Elimination Act (PREA) states the Department shall ensure that each facility develops, documents, and makes its best efforts to comply on a regular basis with a staffing plan as found in Department policy 6.3.1, Section 15. In calculating adequate staffing levels and determining the need for video monitoring, facilities shall take into consideration: (1) generally accepted detention and correctional practices; (2) any judicial findings of inadequacy; (3) any findings of inadequacy from Federal investigative agencies; (4) any findings of inadequacy from internal or external oversight bodies; (5) all components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated); (6) the composition of the inmate population; (7) the number and placement of supervisory staff; (8) facility programs occurring on a particular shift; (9) any applicable State or local laws, regulations, or standards; (10) the prevalence of substantiated and unsubstantiated incidents of sexual abuse; and (11) any other relevant factors. In circumstances of non-compliance with the staffing plan, the Facility Manager/designee shall document, in writing, and justify all deviations from the plan. This documentation shall be forwarded to the Executive Deputy Secretary, Regional Deputy Secretary, PREA Coordinator at CR, DOC PREA Reports email address, and Central Office Security Major. Whenever necessary, but no less frequently than once a year, each facility shall assess, determine, and document whether adjustments are needed to: (1) the facility's deployment of video monitoring systems and other monitoring technologies; (2) the resources the facility has available to commit to ensure adherence to the staffing plan. The annual reviews shall be conducted in consultation with the PCM at that facility and the statewide PREA Coordinator. The PREA Compliance Manager will conduct and document unannounced rounds to identify and deter sexual abuse and sexual harassment in accordance with Department policy 6.3.1, "Facility Security," Section 19, utilizing the PREA Administrative Tour Documentation Form. This form shall be submitted with the Monthly PREA Report Form. 6.3.1, Facility Security Procedures Manual states appropriate facility staff, to include the PREA Compliance Manager conduct an "in house" Corrections Officer Staffing Audit at least once each fiscal year. If the in-house audit indicates a need for a Central Office Interim Audit prior to the one scheduled as part of the three-fiscal year cycle, a request outlining the basis for a Central Office Interim Staffing Audit will be forwarded to the respective RDS. 6.3.1, Facility Security Procedures Manual, Section 19 states each Unit Manager and

	Department Head shall tour his/her area of responsibility on a daily basis and file a monthly report to the supervisor indicating the status of his/her area of responsibility. These managers shall annotate the log to document their visits. The supervisors will compile a monthly report based upon the area monthly reports for submission to the Facility Manager, Deputy Superintendents, and Majors. SCI Somerset provided documentation showing monthly camera meetings held at the facility which includes the PREA Compliance Manager in attendance. During the monthly meetings, several topics are discussed including camera service and newly installed cameras and monitors are only a few topics discussed. During interviews with Deputy Superintendent of Facilities Management and acting PREA Compliance Manager, both stated the facility has a staffing plan with adequate staffing levels to protect inmates against sexual abuse. Video monitoring is included in the plan and the plan is documented. SCI Somerset does not deviate from the staffing plan. Vacant posts are filled with mandatory overtime and confirmed by the staffing deviation memo dated March 27, 2017 and a review of a shift roster confirms the hiring of overtime to fill vacant positions. The facility documents unannounced rounds using the PREA Administrative Tour Documentation Forms. The facility provided the documentation of tours conducted between March 2016 and February 2017 for all shifts. Documentation included the names of staff conducting the tour, areas toured, dates and times of tours and any concerns or follow up needed. Tours are conducted in addition to regular administrative tours and staff are not permitted to alert other staff that the tours are being conducted.

115.14	**Youthful inmates**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Sufficient documentation was provided to indicate that SCI Somerset does not and has not housed youthful inmates since the last audit. DC-ADM 008 states male youthful inmates shall be transferred to SCI Pine Grove within 24 hours of reception by the Department.

115.15	Limits to cross-gender viewing and searches
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008 states staff shall not conduct cross-gender strip searches except in exigent circumstances, in accordance with Department policy 6.3.1, Section 30. All cross-gender strip searches shall be documented on the Cross-Gender Search Validation Form. Inmates shall be able to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks. This limitation not only applies to in-person viewing, but also all forms of remote viewing as well. Staff of the opposite gender shall announce their presence when entering an inmate housing unit. (1) When the status quo of the gender supervision on a housing unit changes from exclusively same gender, to mixed- or cross-gender supervision, the opposite gender staff are required to verbally announce their arrival on the unit. The announcement is required for both custody and non-custody staff, and may include, for example, a clinician or case worker who spends time on the unit, or senior staff making supervisory rounds; (2) when an opposite gender staff member is entering a housing unit and it is unknown to him/her whether any other opposite gender staff are present, the entering staff member shall announce their presence; (3) this announcement may be made by the officer working the control desk via the intercom system; and (4) this announcement may also be made via a specific tone system that is utilized only for the purpose of announcing a member of the opposite gender entering the housing unit. Inmates shall be educated on the tone system utilization. During the onsite audit of the facility, the auditors could observe that the announcements were being made when appropriate. Interviews with random staff and inmates further substantiated that the facility announces the presence of cross-gender staff members routinely. Staff shall be trained in how to conduct cross-gender pat searches, and in searches of transgender and intersex inmates, in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs and Department policy 6.3.1, Section 30. 6.3.1, Facility Security Procedures Manual, Section 30 states at no time will a search or physical examination be conducted for the sole purpose of determining the inmate's genital status. DC-ADM 008 SMR 001 states a strip search shall never be conducted to determine the status of an inmate's genitalia. 6.3.1, Facility Security Procedures Manual, Section 30 states absent exigent circumstances, a

	female staff member shall search a female inmate. A male staff member shall search a male inmate. Transgender or intersex inmates shall be searched by the same gender staff member consistent with the gender of inmates housed at that facility. Interviews conducted with randomly selected inmates confirmed they are able to shower, perform bodily functions, and change clothing without being viewed by non-medical staff of the opposite gender. Interviews with staff indicated they have received training on conducting cross-gender pat-down searches and searches of transgender and intersex inmates. The facility provided a memo to the auditors that stated SCI Somerset has had no cross-gender strip searches, body cavity, or pat down searches in the past 12 months and does not allow cross gender body cavity searches due to exigent circumstances. Interviews with a random sampling of staff and inmates confirm that SCI Somerset has had no cross-gender strip searches, body cavity, or pat down searches in the past 12 months. During the pre-audit, the auditor was provided with a PowerPoint presentation of their training for inmate searches. The auditor was provided with a copy of 2016 and 2017 staff training logs for conducting searches of Transgender/Intersex inmates. 100 staff members completed the training at the facility and all other staff receive training during basic training. A copy of a memo, authored by the Executive Deputy Secretary Shirley R. Moore Smeal, designating select officer posts to be gender specific was provided during the pre-audit. This has provided the facility with available staff to perform these searches when necessary. Gender specific posts also include those with the ability to view in-cell cameras. Interviews conducted with a random sample of staff and inmates identified as transgender confirmed that no searches were conducted for the sole purpose of determining the inmate's genital status. During the onsite audit, it was observed that all showers and toilet facilities included doors allowing inmates to shower and perform bodily functions, and change clothing without being viewed by non-medical staff of the opposite gender. SCI Somerset is an adult, all-male facility which does not house any female inmates therefore, compliance in those areas is not applicable.

115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 006 Reasonable Accommodations for Inmates with Disabilities Manual Section 1- General Procedures, DC-ADM 006 Reasonable Accommodations for Inmates with Disabilities Manual Section 2- Accommodations, DC-ADM 818 Automated Inmate Telephone System, and DC-ADM 006 Reasonable Accommodations for Inmates with Disabilities Manual Section 3- Specific Disabilities outlines the agencies approach to providing services to inmates. DC-ADM 008 states pursuant to Department policy DC-ADM 006, “Reasonable Accommodations for Inmates with Disabilities,” the Department shall ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the Department’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment. Written materials shall either be delivered in alternative formats that accommodate the inmate’s disability or the information shall be delivered through alternative methods, such as reading it to the inmate or communicating through an interpreter, which ensures the understanding of the PREA-related material. The Department shall take reasonable steps to ensure meaningful access to all aspects of the Department’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient, including steps to provide qualified interpreters. The PCM shall ensure that only staff members or qualified contractors who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary, provide translation for inmates. If a multi-lingual staff member is not available, then the current contracted translation service must be utilized. The Department shall not rely on inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter would compromise the inmate’s safety, the performance of first response duties under PREA Standard 28 C.F.R. §115.64, or the investigation of the inmate’s allegations for any use of an inmate interpreter shall be documented accordingly. During the pre-audit, the auditor was provided with a copy of a contract with Propio Language Services. This contract shows to be valid from September 1, 2014 to June 30, 2017. The auditor was also provided with examples of the language services being utilized. During the onsite audit, the auditor observed PREA posters throughout the facility as well has handouts in both English and Spanish. Interviews conducted with inmates who are disabled or who are limited English proficient indicated the facility provides information about sexual abuse and sexual harassment that they can understand as well as help them understand their rights related to sexual abuse and how to report incidents of sexual abuse and sexual harassment.

115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Human Resources and Labor Relations Manual Section 41- Employment of Job Applicants Having Prior Adverse Contacts with Criminal Justice Agencies states consistent with the Prison Rape Elimination Act (PREA), the Department shall not hire or promote anyone who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility or other institution (as defined in 42 U.S.C. 1997) to include state facilities for persons who are mentally ill, disabled, or retarded or chronically ill or handicapped; residential care or treatment facilities for juveniles; and facilities that provide skilled nursing, intermediate or long-term care, or custodial or residential care; has been convicted or civilly or administratively adjudicated for engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; and/or has been convicted of any offense under the following (or equivalent out of state offense): (1) Title 18 Pa. C.S.A. Chapter 31 – Sexual Offenses; or (2) Title 18 Pa. C.S.A. Chapter 59 – Public Indecency. Also, consistent with the PREA, the Department will consider any incidents of sexual harassment in determining whether to hire or promote anyone. The DC-96E Application For Employment includes an oath and signature that must be signed in the presence of a Notary Public. During pre-audit, several documents were provided indicating the agency has conducted criminal record background checks of persons hired or promoted and questions regarding past conduct were asked and answered. Volunteers, contractors and other members of the public wishing to gain access to a facility are required to undergo a clearance check in accordance with Department Policy 1.4.4 Centralized Clearance Check Procedures. A clearance is valid for a maximum of 24 months before the individual must reapply. This policy includes PREA specific language to include: Prior to the engagement of any contractors, the contractor and all of the contractor's employees and/or subcontractors that may have contact with inmates will be investigated to ensure that the Department does not enlist the services of any person(s) who has engaged in sexual abuse in a prison, jail, lockup, community facility, juvenile facility, or other institution and/or has been convicted or civilly or administratively adjudicated for engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse. The Department shall also consider any incidents of sexual harassment when determining whether to enlist the services of any contractor who may have contact with inmates. During an interview with Human Resources staff we learned that employees are continuously monitored using the Pennsylvania Justice Network (JNET). This system provides real-time

	notification of employee arrests and therefore, five-year background checks are not required for current employees. Background checks for applicants for the DOC are conducted centrally by the Office of Special Investigations and Intelligence (OSII).

115.18	Upgrades to facilities and technologies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states when designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the Department shall consider the effect of the design, acquisition, expansion, or modification upon the Department's ability to protect inmates from sexual abuse. When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, the Department shall consider how such technology may enhance the Department's ability to protect inmates from sexual abuse. During the pre-audit, a memo from SCI Somerset Maintenance department was provided stating there has been no significant construction, demolition, or modifications to existing facilities since the last PREA audit. A memo from Deputy Superintendent for Facilities Management Hainsworth dated March 21, 2017 was provided stating a review of all camera placements was conducted and cameras that would possibly allow cross-gender viewing have been noted and restriction placed on them limiting their access by the opposite gender. Several camera meeting minutes were provided including one from January 30, 2017. Meeting minutes stated several areas of the facility had been added to the list of potential camera placements and cameras were being added to the Warehouse. The system for the camera in the strip unit area in the RHU was installed and is operational and the camera has been repositioned to comply with PREA standards. During the onsite audit, several cameras were observed in place and operational in the Warehouse. Multiple cameras were also observed for future monitoring of all areas of the warhouse including blindspots and prior unmonitored areas. DSFM Hainsworth indicated it was the facility's intent to have the additional cameras fully operational within the next month. Interviews conducted indicate camera technology is not used to replace staff and has enhanced the facilities ability to protect inmates from sexual abuse.

115.21	Evidence protocol and forensic medical examinations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	The facility is responsible for conducting administrative investigations regarding allegations of sexual abuse. Pennsylvania State Police (PSP) is responsible for conducting criminal investigations regarding allegations of sexual abuse. DC-ADM 008, Section 5 states the Department shall follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions, in accordance with the Shift Commander Checklist (refer to Attachment 4-A); Initial Response Checklist – Alleged Victim (refer to Attachment 4-B); Initial Response Checklist – Alleged Abuser (refer to Attachment 4-C); Initial Response Checklist – Alleged Victim of Non-Contact Abuse (refer to Attachment 4-D), and the Instructions for PREA Evidence Retention (refer to Attachment 4-E); and as well as Department policy 6.3.1, "Facility Security," Section 15. The protocol established for evidentiary purposes shall be developmentally appropriate for youth, where applicable, in accordance with PREA Standard 28 C.F.R. §115.21[b]. Treatment services shall be provided to the alleged victim without financial cost and regardless of whether the alleged victim names the abuser or cooperates with any investigation arising out of the incident. The Department works in collaboration with the Pennsylvania Coalition Against Rape (PCAR) and its member centers. The facility PCM, in conjunction with the statewide PREA Coordinator/designee has worked to establish mutual agreements with local rape crisis centers where all Department facilities are located. The PCM shall ensure that inmates are offered and provided with access to outside victim advocates for emotional supportive services related to sexual abuse which has occurred in a confinement setting. During non-working hours, the Shift Commander shall be responsible to ensure the aforementioned support services are provided. Supportive services may be provided via a variety of methods including in person, during a non-monitored phone call, and/or in writing. The preferred service delivery method is in person in a confidential setting. The PCM shall inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws. An inmate shall be offered the opportunity to talk with a victim advocate upon receipt of an allegation and receive continued care when they have been a victim of facility sexual abuse, no matter if they reported the facility sexual abuse immediately or made a delayed disclosure. If the inmate is taken to a local hospital for a forensic examination, they should be afforded the opportunity for support services provided by a victim advocate. If requested, the victim advocate shall meet the alleged victim at that hospital to accompany

	and support the alleged victim through the forensic medical examination process and investigatory interview. They shall also provide emotional support, crisis intervention, information, and referrals. If the inmate discloses outside the 96 hour timeframe, the facility PCM shall make arrangements for the victim advocate to meet with the inmate at the facility or via telephone, if the alleged victim wishes to speak with an advocate. This meeting should occur in a private area with video surveillance and no audio to ensure confidentiality. The safety of the victim advocate should be paramount in determining where the interaction will occur. Interviews with a random sample of staff confirmed staff know and are aware of the Department's protocol for obtaining physical evidence when there are allegations of sexual abuse. Staff were also aware the facility is responsible for conducting administrative investigations. An interivew with the SANE/SAFE Coordinator confirmed that forensic exams are conducted by qualified SANE/SAFE staff or a qualified medical practitioner. Forensic Medical Examinations are offered at Somerset Hospital and Conemaugh Memorial Medical Center and are conducted by Sexual Assault Nurse Examiners. During the pre-audit, the facility provided copies of Memorandum of Understanding (MOU) with Conemaugh Memorial Medical Center and Somerset Hospital and the agency. The MOU for Conemaugh Memorial Medical Center, signed on October 21, 2015 states the medical center will conduct forensic examinations on victims of sexual abuse which were allegedly committed in a state correctional institution. These examinations would be conducted by a Sexual Assault Nurse Examiner and/or Emergency Room Resident or Attending Physician. The MOU for Somerset Hospital, dated September 30, 2015 states the hospital will conduct forensic examinations on victims of sexual abuse which were allegedly committed in a state correctional institution. These examinations would be conducted by a Sexual Assault Nurse Examiner. The facility provided a copy of an agreement with Victim Services Inc. signed February 18th, 2015 will provide advocacy for and accompany the victim to the hospital, provide confidential supportive services to the victim, and accompany the victim to court proceedings. During the onsite audit, the facility provide documentation regarding 1 SANE exam performed during the past 12 months.

115.22	Policies to ensure referrals of allegations for investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 5 states every report, complaint, or allegation of sexual abuse and sexual harassment, including third party and anonymous reports, shall be investigated promptly, thoroughly, and objectively. Sexual abuse/sexual harassment investigations are conducted by the Office of Special Intelligence and Investigations (OSII) and/or the Pennsylvania State Police (PSP). During the past 12 months, there have been 78 allegations of sexual abuse and/or sexual harassment that were received with 78 allegations administratively investigated and 51 allegations referred for criminal investigation. During the onsite audit, documentation of reports of sexual abuse and harassment and documentation of investigations with findings were provided to the auditor and confirmed the facility conducts investigations for all allegations of sexual abuse and sexual harassment including inmate-on-inmate sexual abuse and staff sexual misconduct. During the onsite audit, the facility provided documentation noting allegations referred to PSP for criminal investigation are documented. The agencies policy for referrals are published on the agency website.

115.31	Employee training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states the PCM, in conjunction with the Training Coordinator at each facility, shall ensure that all employees who have contact with inmates receive the following training: (1) the zero tolerance policy against sexual abuse and sexual harassment within the Department; (2) how staff are to fulfill their responsibilities under the Department's sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures as defined in this policy; (3) inmates' right to be free from sexual abuse and sexual harassment; (4) the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment; (5) the dynamics of sexual abuse and sexual harassment in confinement; (6) the common reactions of sexual abuse and sexual harassment victims; (7) how to detect and respond to signs of threatened and actual sexual abuse; (8) how to avoid inappropriate relationships with inmates; (9) how to communicate effectively and professionally with inmates, including LGBTI or gender nonconforming inmates; and (10) how to comply with relevant laws of Pennsylvania related to mandatory reporting of sexual abuse to outside authorities. Beginning in 2016, and every even numbered year thereafter, the annual PREA education shall be provided in the form of a refresher of the initial basic staff training information for all staff members. Staff shall be required to verify that they have received the updates and understand the included items on the PREA Training and Understanding Verification Form (Attachment 2-F) to be kept in the staff member's training file in accordance with Section 1 of this procedures manual. Beginning in 2017 and every odd numbered year thereafter, the annual PREA education shall be provided in the form of an update to this procedures manual for all staff members. Staff shall be required to verify that they have received the information and understand the included items on the PREA Training and Understanding Verification Form. This information shall be kept in the employee's training file in accordance with Section 1 of this procedures manual. Staff shall receive training in accordance with Department policy 5.1.1, "Staff Development and Training." Such training shall be tailored to the gender of the inmates at the employee's facility. The employee shall receive additional training if the employee is reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa. During the pre-audit, the facility provided the 2015 PREA Course Lesson Plan and the 2016 PREA Essentials web training indicating all topics are covered during staff training sessions. A review of these documents indicates all topics above are covered during training and is tailored to the gender of the inmates at the facility. The facility also provided the auditor with a

	sampling of training records confirming training completion by staff. Staff are required to sign a PREA Training and Understanding Verification Form indicating they participated in and acknowledge understanding and comprehension of PREA training. Interviews with a random sampling of staff confirmed they have received the required training regarding PREA. The facility did not have employees who were reassigned from facilities housing the opposite gender but the training is provided when necessary. The agency provides employees, who may have contact with inmates, refresher information about current policies regarding sexual abuse and sexual harassment. In years in which an employee does not receive refresher training, the employee is provided refresher information on current sexual abuse and sexual harassment policies.

115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states contractors and volunteers (to include contract service providers, public visitors, or Non-Department Employees) shall receive training on their responsibilities under the Department's sexual abuse and sexual harassment prevention, detection, and response policies and procedures. They shall be trained during orientation sessions and annual training reflective of the level of contact that they have with inmates. All contractors and volunteers shall be required to sign and acknowledge the PREA Training and Understanding Verification Form. The Volunteer Coordinator at each facility shall be responsible for documenting the PREA training that each volunteer has received and maintain the documentation in the volunteer file in accordance with Section 1 of this procedures manual. PCMs shall be responsible for maintaining PREA Training and Understanding Verification Forms for all contractors. PREA Training shall be effective for a period of one year. Any contractor or volunteer that has multi-facility access should request and maintain a copy of the PREA Training and Understanding Verification Form for provision to the PCM or Volunteer Coordinator at each subsequent facility. There have been 100 volunteers and 48 contractors at SCI Somerset who may have had contact with inmates, who have been trained in agency policies and procedures regarding sexual abuse and sexual harassment. During the pre-audit, the facility provided documentation of volunteer and contractor training as well as the signed volunteer verification form and training rosters confirming all volunteer and contractors have been trained in agency policy and procedures. Interviews with volunteer and contractors confirmed they have been trained in their responsibilities regarding sexual abuse and sexual harassment prevention, detection, and response, per agency policy and procedure. Volunteers and contractors indicated they were notified of the agency's zero-tolerance policy on sexual abuse and sexual harassment and they were informed about how to report such incidents.

115.33	Inmate education
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states each facility shall provide inmate education explaining the zero tolerance policy regarding sexual abuse and sexual harassment, and how to report incidents or suspicions of sexual abuse, sexual harassment or retaliation, and what to do if he/she is the victim of such. This information shall be provided in formats accessible to all inmates, including those who are limited English proficient, deaf, visually impaired, or otherwise disabled, as well as to inmates who have limited reading skills. During the intake process, all inmates, including Parole Violators (PVs), shall receive information explaining the zero tolerance policy regarding sexual abuse and sexual harassment, and how to report incidents or suspicions of sexual abuse, sexual harassment or retaliation, and what to do if he/she is the victim of sexual abuse, sexual harassment, or retaliation. Medical shall provide a copy of the Sexual Assault Awareness Informational Handout (Attachment 2-H) to the inmate immediately upon intake. Within the first 30 days of reception, additional information shall be provided to all inmates, including PVs, either during orientation at the Diagnostic and Classification Center (DCC) and reception sites, or upon return to Department custody. All inmates shall be shown a video regarding their rights to be free from sexual abuse, sexual harassment, and retaliation. They shall also be provided information regarding Department policies and procedures for responding to such incidents. Inmate education may be provided to inmates individually or in groups. A staff member must be present at all times to facilitate discussion, in conjunction with the National PREA Resource Center Inmate Education Facilitator's Guide PREA: What You Need To Know (Attachment 2-I) and to answer questions. The PREA video, "PREA: What You Need To Know" is available for use. Each facility shall have access to this video in Spanish and English, with subtitles. An intake counselor shall remain in the room during the playing of the video to observe inmates, looking for reactions. Additionally, the intake counselor shall ask questions, as outlined in the Facilitator's Guide, at the end of the video to determine comprehension of the materials. The intake counselor shall offer to meet privately with any inmates if they request to discuss issues related to the video. An inmate who did not receive the education at the DCC shall receive this training within one year of the effective date of the PREA Standards. This education may be provided as a group presentation or individually during the inmate's annual/semi-annual case review, as needed. Any inmate that is transferred must receive education upon transfer, only to the extent that the policies and procedures of the inmate's new facility differs from those of the previous facility. The PREA video is to be played a minimum of two times each month over the inmate

	television channel. During the inmate's annual review, the counselor shall discuss issues related to sexual abuse in prison and offer the inmate an opportunity to discuss related concerns. The counselor shall provide a Sexual Assault Awareness Informational Handout at the time of his/her annual review. Sexual abuse, sexual harassment, and retaliation training shall be documented by the inmate signing the PREA Inmate Education Verification Form (Attachment 2-J). This form shall be filed in the DC-14 in accordance with Section 1 of this procedures manual. Provision of PREA Inmate Education shall be documented in an Inmate Cumulative Adjustment Record (ICAR) entry. Within the last 12 months 719 inmates were admitted to SCI Somerset and 705 were given this information at intake. The remaining 17 inmates that were not provided the information were temporary holds received for medical procedures and did not remain at the facility. Within the last 12 months 694 inmates were admitted whose length of stay in the facility was for 30 days or more who received comprehensive education on their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents. The facility provides education information in formats accessible to all inmates by providing the information handouts printed in English and Spanish, printed posters in English and Spanish, inmate handbooks, as well as video information in English and in Spanish and includes subtitles for those who are deaf. During the pre-audit, the auditor was provided the brochure given to inmates during intake, the inmate handbook, the PREA handout, as well as the inmate orientation curriculum that includes information about the zero-tolerance policy and how inmates can report sexual abuse or sexual harassment. The auditor was provided sample copies of the PREA Inmate Education Verification Form with inmate signatures acknowledging inmates received and understood the agency maintains a zero-tolerance policy regarding inmate sexual abuse, sexual harassment and retaliation. An interview with intake staff confirmed the facility ensure inmates receive information about the zero-tolerance policy and how to report incidents by providing inmates with the Sexual Awareness Brochure. Current inmates as well as transfer inmates attend a facility orientation that includes education on the agency's zero-tolerance policy on sexual abuse or sexual harassment. Interviews with a random sample of inmates confirmed inmates receive information about the facilities rules against sexual abuse and harassment.

115.34	Specialized training: Investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states any staff designated to conduct sexual abuse investigations shall receive training. This specialized training shall include, but is not limited to: interviewing sexual abuse victims, proper use of Miranda warnings, the Garrity rule, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecutorial referral. This training shall be developed by the PCD and standardized for Department wide training purposes. This specialized training shall occur on an annual basis unless it is deemed necessary to be held more frequently by the statewide PREA Coordinator or Facility Manager. Staff shall be required to sign off that they have received the information and understand the included items on the PREA Training and Understanding Verification Form unless completed through web-based training. This information shall be kept in the staff member's training file in accordance with Section 1 of this procedures manual. The Department, any state entity, or Department of Justice component that investigates sexual abuse in confinement settings shall provide such training to its agents and investigators who conduct such investigations. During the pre-audit, the facility provided copies of the training curriculum as well as a list of investigators who completed the training. The training included all topics required. An interview with the facility PREA investigator confirmed he had received training specific to conducting sexual abuse investigations in confinement settings which included topics like interviewing techniques, victim's rights and how to interview a victim of sexual assault or harassment. The investigator stated the training also included sexual abuse evidence collection in confinement settings and he was aware of the criteria and evidence required to substantiate a case for administrative or prosecution referral.

115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states any staff providing medical/mental health services, whether on a full or part-time status, shall receive additional training on working with victims of sexual abuse and sexual harassment. For the purposes of this training requirement, medical staff shall include all licensed medical staff, as well as non-licensed contact medical staff such as dental assistants, Correctional Health Care Administrators (CHCAs), and contracted provider staff. This specialized training shall include, but is not limited to: how to detect and assess signs of sexual abuse and sexual harassment, how to preserve physical evidence of sexual abuse, how to respond effectively and professionally to victims of sexual abuse and sexual harassment, and how and to whom to report allegations or suspicions of sexual abuse and sexual harassment. This training shall be developed by the PCD and standardized for Department wide training purposes. All new medical/mental health staff shall receive this training within the first six months of employment with the Department. The training shall either be provided by the current contracted medical health provider or locally by staff who have been trained. Staff shall be required to sign off that they have received the information and understand the included items on the PREA Training and Understanding Verification Form. This information shall be kept in the employee's training file in accordance with Section 1 of this procedures manual. The facility has 33 medical and mental health care practitioners who work regularly at the facility and all 33 received the training required by agency policy. During the pre-audit, the auditor was provided with training materials provided during the training as well as verification forms confirming staff received the training. An interview conducted with medical and mental staff confirmed staff receive specialized training regarding sexual abuse and sexual harassment. Agency medical staff at this facility do not conduct forensic medical examinations. Such examinations are conducted at the Somerset Hospital or at Conemaugh Memorial Medical Center.

115.41	Screening for risk of victimization and abusiveness
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states all inmates shall be assessed during the intake screening process, upon receipt into another facility, whenever an inmate is involved in an incident of sexual abuse and at his/her annual review, for risk of being sexually abused by other inmates or sexually abusive toward other inmates. Within the first 72 hours of reception to the Department and receipt into another facility, the PRAT shall be conducted by qualified health care or unit management staff. The PREA Risk Assessments shall be conducted utilizing the PRAT. The tool will be an objective instrument that shall consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization or abusiveness: 1. whether the inmate has a mental, physical, or developmental disability; 2. the age of the inmate; 3. the physical build of the inmate; 4. whether the inmate has previously been incarcerated; 5. whether the inmate's criminal history is exclusively nonviolent; 6. whether the inmate has prior convictions for sex offenses against a child or an adult; 7. whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming; 8. whether the inmate has previously experienced sexual victimization; 9. the inmate's own perception of vulnerability; 10. whether the inmate is detained solely for civil immigration purposes; and 11. the initial assessment, which is conducted within 72 hours of reception, shall consider prior acts of abuse, prior convictions for violent offenses, and history of prior facility violence or sexual abuse, as known to the Department, in order to assess inmates for the risk of being sexually abusive. A reassessment shall be conducted between day 20 and 30 of every inmate's arrival in the system or receipt into another facility utilizing the PRAT. Additionally, the counselor shall reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility staff since the intake screening. Inmates shall not be disciplined for refusing to answer, or for not disclosing complete information in response to the questions regarding prior victimization, disabilities, their perception of vulnerability, or their sexual orientation. In the past 12 months 718 inmates have entered SCI Somerset with 718 inmates screened for risk of sexual victimization or risk or sexually abusing other inmates within 72 hours of the entry. 694 inmates at SCI Somerset have entered the facility and whose length of stay was for 30 days or more were reassessed within the noted time frame. During the pre-audit, the facility provided documentation of transfer dates of inmates as well as corresponding dates of screenings conducted. A copy of sample PREA Risk Assessment

	Tools were provided in both English and in Spanish and documentation indicating the assessment of inmates within 30 days after their arrival. The facility provided records of inmates who were reassessed for risk of sexual victimization or abusiveness and records of inmate who have been victims of perpetrators of sexual abuse. Interviews conducted with a random sampling of inmates indicated inmates are screened within 72 hours upon admission. An interview conducted with staff responsible for risk screening indicated inmates are screened upon admission and considers all items prescribed in the standard. Inmates are reassessed within 30 days and are not disciplined in any way for refusing to respond to the questions during the assessment. An interview conducted with the PREA Compliance Manager indicated that inmates are not disciplined for refusing to answer or for not disclosing complete information in response to questions asked. The questions asked to inmates are not provided to any other staff and any inmate scoring as a potential victim or predator will only indicate as a housing concern within the facilities database.

115.42	Use of screening information
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states the information received through the administration of the PRAT questions shall be used to inform housing, bed placement, work, education, and program assignments with the goal of keeping separate those inmates at high risk for being sexually victimized from those at high risk of being sexually abusive. The sensitive information collected through these tools shall be kept as confidential as possible so as not to be used to the inmate's detriment by staff or other inmates. Every inmate shall be assessed upon reception for potential risk of sexual abuse and victimization in order to identify at-risk inmates and ensure safety and security for all inmates committed to the custody of the Department. During the pre-audit the facility provided a copy of the PRAT Housing Concerns memo date March 7, 2017 issued to all concerned discussing regarding the category of "HC" Housing Concern for inmates identified as High Risk and that housing assignments will be made in compliance with policy. The facility provided copies of housing decisions and an email confirming the facility uses the information from the risk screening to establish housing and other assignments. The facility provided documents indicating the facility makes individualized determinations about how to ensure the safety of each inmate as well as housing and program assignments for transgender or intersex inmates on a case by case basis. Interviews conducted with the acting PREA Compliance Manager and the staff responsible for conducting screenings indicated the facility uses information from the risk screening to determine an inmate's housing location and other assignments including assignments for intersex and transgender inmates. Interviews conducted with staff and inmates confirmed the screening tool is being used to determine housing placements to ensure an inmate's health and safety. Interviews also indicated that transgender inmates are housed in general population but are provided an opportunity to shower separately from the remaining population. Reassessments of transgender or intersex inmate assignments are conducted every 6 months and sooner if there are concerns.

115.43	Protective Custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states sexual abuse shall not be placed involuntarily in Administrative Custody (AC) as a means of protection unless an assessment of all available alternatives has been made by Psychology and Security staff in conjunction with the Facility Manager/designee, and a determination has been made that there is no other available alternative means of separation from likely abusers. If the facility cannot conduct the assessment immediately, the facility may hold the inmate in involuntary AC for less than 24 hours while completing the assessment. DC-ADM 802, Administrative Custody Procedures Manual states at least every 30 days, the Program Review Committee (PRC) shall ensure each such inmate is reviewed to determine whether there is a continuing need for separation from the general population. This review shall be documented on the DC-141, Part 3 (Other). PRC review of PREA-related cases shall include the PREA Compliance Manager (PCM) as a member of the reviewing committee. There have been no inmates at risk of sexual victimization who were held in voluntary segregated housing in the last 12 months. During the pre-audit the facility provided an example of a completed Response to Allegation of Sexual Abuse Checklist dated November 28, 2016 documenting safety needs discussed with the inmate and the most appropriate level of housing was chosen. The form also notes the victim should be house in RHU only if there are no other means of protection. Through staff and inmate interviews, it was determined that inmates are placed in general population housing and not placed in segregated housing if they are at a high risk of victimization. If there were no other means of protecting the inmate, they would be placed in segregated housing but only until an alternative means of separation can be arranged.

115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 3 states staff shall accept and document reports made verbally, in writing, anonymously, and from third parties and promptly forward to the facility's designated investigators. All reports received by the Sexual Abuse Reporting Address, established for the anonymous reporting of sexual abuse or sexual harassment to the Pennsylvania State Police (PSP), shall be handled as follows: a. when the PSP receives Prison Rape Elimination Act (PREA)-related complaint correspondence at this address, the letter shall be scanned and emailed to the Department's PREA Notification email address (CR, DOC PREA Notification) for tracking purposes and dissemination to the appropriate facility; b. the PREA Compliance Division (PCD) is responsible to check the email box daily (business days only) for follow-up and referral purposes; and c. this information shall be maintained within the investigative file, in accordance with Section 1 of this procedures manual. If the alleged victim is under the age of 18, the Department shall refer the allegation to the designated State or local services agency under applicable mandatory reporting laws as outlined in Department policy 1.1.16, "Mandatory Reporting Guidelines." An inmate who is an alleged victim of any of the following shall report the abuse to a staff member as soon as possible: a. sexual abuse; b. sexual harassment; c. retaliation by other inmates or staff for reporting sexual abuse and sexual harassment; and/or d. staff neglect or violation of responsibilities that may have contributed to such incidents. A report may be made to any staff member in the facility including, but not limited to, Medical staff, Psychology staff, Corrections Officers, and Counselors. As soon as a staff member, contractor, or volunteer receives a report of sexual abuse or sexual harassment, either verbally or in writing, he/she is required to notify the Shift Commander immediately. The required process for making this report is outlined in Subsection C. below. Methods of reporting include the following: a. verbal report to a staff member; b. submitting a DC-135A, Inmate Request to Staff Member; and/or c. submitting a written report to the Sexual Abuse Reporting Address: BCI/PREA Coordinator, 1800 Elmerton Avenue, Harrisburg, PA 17110. These written reports may be submitted, either as identified above, or through the facility

	grievance lock-boxes located on every housing unit within the facility. These lock-boxes are accessed only by an approved management staff, and reports shall be forwarded for review and action, as outlined within this policy. Inmates shall utilize the inmate grievance system in accordance with Department policy DC-ADM 804, “Inmate Grievance System,” to report inmate-on-inmate sexual harassment allegations, which include repeated and unwelcome sexual advances, requests for sexual favors, verbal comments, gestures, or actions of a derogatory or offensive sexual nature. Every inmate must be provided with immediate access to at least one of the methods indicated above through which he/she may privately report sexual abuse and sexual harassment, retaliation by other inmates or staff for reporting sexual abuse and sexual harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents. Per policy 11.5.1, Records Office Operations, the agency does not house inmates that are detained solely for civil immigration purposes. During the pre-audit, the facility provided documentation of inmates using the various methods of reporting. During interviews with staff and inmates it was confirmed that inmates are provided multiple ways to report privately agency officials including the mailing address to the Pennsylvania State Police Bureau of Criminal Investigation.

115.52	Exhaustion of administrative remedies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008 states inmates shall not utilize the inmate grievance system to report sexual abuse or sexual harassment by a staff member or inmate-on-inmate sexual abuse, as defined in the Glossary of Terms for this procedures manual. However, if an inmate files a grievance related to staff on inmate sexual abuse/sexual harassment or inmate on inmate sexual abuse, the Facility Grievance Coordinator shall reject the grievance and forward it to the facility Security Office and PREA Compliance Manager (PCM)/designee for tracking and investigation. The inmate shall be notified of this action. DC-ADM 804, Inmate Grievance System Procedures Manual Section 1 states the inmate grievance system is not meant to address incidents of an urgent or emergency nature including allegations of sexual abuse. These allegations are taken seriously by the Department and must and will be investigated to make sure that inmates are safe in the facilities. If a grievance is filed regarding an allegation of a sexual nature (abuse/harassment) against a staff member regarding an allegation of a sexual nature (abuse/harassment) or inmate-on-inmate sexual abuse, the grievance will be immediately forwarded to the Security Department as well as PREA Compliance Manager in accordance with Department policy DC-ADM 008 to start an investigation and will not be addressed through the inmate grievance system.

115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC ADM-008, Section 4 states the Department works in collaboration with the Pennsylvania Coalition Against Rape (PCAR) and its member centers. The facility PCM, in conjunction with the statewide PREA Coordinator/designee has worked to establish mutual agreements with local rape crisis centers where all Department facilities are located. The PCM shall ensure that inmates are offered and provided with access to outside victim advocates for emotional supportive services related to sexual abuse which has occurred in a confinement setting. During non-working hours, the Shift Commander shall be responsible to ensure the aforementioned support services. Supportive services may be provided via a variety of methods including in person, during a non-monitored phone call, and/or in writing. The preferred service delivery method is in person in a confidential setting. The PCM shall inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws. An inmate shall be offered the opportunity to talk with a victim advocate upon receipt of an allegation and receive continued care when they have been a victim of facility sexual abuse, no matter if they reported the facility sexual abuse immediately or made a delayed disclosure. a. If the inmate is taken to a local hospital for a forensic examination, they should be afforded the opportunity for support services provided by a victim advocate. If requested, the victim advocate shall meet the alleged victim at that hospital to accompany and support the alleged victim through the forensic medical examination process and investigatory interview. They shall also provide emotional support, crisis intervention, information, and referrals. b. If the inmate discloses outside the 96 hour timeframe, the facility PCM shall make arrangements for the victim advocate to meet with the inmate at the facility or via telephone, if the alleged victim wishes to speak with an advocate. This meeting should occur in a private area with video surveillance and no audio to ensure confidentiality. The safety of the victim advocate should be paramount in determining where the interaction will occur. During the pre-audit, the facility provided a brochure that is given to all inmates during initial intake and contains a mailing address to the PCAR. Through random inmate interviews and an interview with an inmate who reported a sexual abuse, it was confirmed that inmates are familiar with victim services available to them. Inmates noted the posters are visible throughout the facility which includes the mailing address. During the onsite audit, posters were observed and were in areas visible to all inmates through out the facility.

115.54	Third-party reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 3 states the Methods of Reporting for Friends, Family, and the General Public The Sexual Abuse Reporting Address is an option for the general public to report an allegation of sexual contact. The reporting address is: BCI/PREA Coordinator, 1800 Elmerton Avenue, Harrisburg, PA 17110. A writer may choose to include his/her name and contact information, but it is not necessary in making a report; complaints can be made anonymously. Reports can also be submitted online at www.tipsubmit.com. The information is posted on the agency website.

115.61	Staff and agency reporting duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008 states any staff member, contract service provider, and volunteer shall immediately report to the Shift Commander if he/she has knowledge, suspicion, or information regarding any of the following: a. sexual abuse of an inmate; b. sexual harassment of an inmate that occurred in a facility; c. retaliation against inmates or staff who reported such an incident; and/or d. staff neglect or violation of responsibilities that may have contributed to an incident or retaliation The incidents listed above may have occurred in any confinement facility, whether or not it is affiliated with the Department. Unless otherwise precluded by Federal, State, or local law, medical and mental health practitioners shall be required to report sexual abuse in accordance with Subsection C.1. above, to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services. Verbal reports shall be immediately documented on the DC-121, Part 3, Employee Report of Incident. Written DC-135A regarding PREA allegations shall be retained in the appropriate investigative file in accordance with Section 1 of this procedures manual. A DC-121, Part 2, Extraordinary Occurrence Report shall be filed in every sexual abuse allegation in accordance with Department policy 6.3.1, "Facility Security." Apart from reporting to designated supervisors or officials, staff shall not reveal any information related to a sexual abuse allegation to anyone other than to the extent necessary, to make treatment, investigation, and other security and management decisions, where sexual abuse with an inmate is reported. During the pre-audit the facility provided a transfer report confirming the facility provides limited information related to a sexual abuse report. Through interviews with a random sample of staff as well as interviews with medical and mental health staff, it was confirmed that staff are aware they are to report immediately and per policy.

115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states when Department staff learn that an inmate is subject to a substantial risk of imminent sexual abuse, appropriate and immediate action shall be taken to protect that inmate. Inmates at a high risk for sexual victimization or inmates who have allegedly suffered sexual abuse shall not be placed involuntarily in Administrative Custody (AC) as a means of protection unless an assessment of all available alternatives has been made by Psychology and Security staff in conjunction with the Facility Manager/designee, and a determination has been made that there is no other available alternative means of separation from likely abusers. If the facility cannot conduct the assessment immediately, the facility may hold the inmate in involuntary AC for less than 24 hours while completing the assessment. The staff must consider other alternative placements for an alleged victim and make the appropriate placement. Placement in AC is permissible when the alleged victim requests or agrees to it. Alternative placements can include, but are not limited to, any one, or combination of, the following temporary options: (1) moving to a different housing unit; (2) placement in a cell closer to the Corrections Officer's desk within the unit; (3) Z-Code; and/or (4) placement in the Special Needs Unit (SNU). During the pre-audit the facility provided a copy of a response to an allegation of sexual abuse initial response checklist that ensures the shift commander determines the most appropriate level of housing for the inmate. In the past 12 months, there were 33 instances where the facility determined an inmate was subject to substantial risk of imminent sexual abuse based on allegations. During all of these cases, the facility took immediate action. Through interviews with staff, it was confirmed the facility takes immediate action to separate the alleged victim and abuser whenever it is determined an inmate may be at risk for imminent sexual abuse. The inmate will be moved to another housing unit, placed closer to the officer's desk, or given a Z-code which specifies housing.

115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 3 states inmate may file a report of sexual abuse sustained while confined at another facility. It is the Facility Manager/designee's responsibility to notify the head of the facility in which the reported abuse occurred. Notification must be provided as soon as possible, but no later than 72 hours after receipt of information and documented on the Notification of Sexual Abuse Allegation to Another Facility (Attachment 3-B). This document shall be maintained by the PCM in an annual file for audit verification purposes in accordance with Section 1 of this procedures manual. A copy of the notification must also be sent to the facility PCM for audit verification purposes. Both PCMs shall coordinate the information flow to ensure: A thorough and expedient investigative process follows; and thee inmate receives information regarding the investigative outcome in a confidential and timely manner as to comply with Section 8 of this procedures manual. Upon receipt of an allegation from another facility that an inmate was sexually abused while confined at that location, the Facility Manager/designee at the receiving facility shall document the receipt of the allegation on the Notification of Sexual Abuse Allegation to Another Facility. The Facility Manager/designee shall immediately notify the Security Office to initiate a PREA investigation as outlined in Section 5 of this procedures manual. The Security Office/PCM/designee shall be responsible for notifying the Shift Commander for any allegations requiring the implementation of checklist procedures as outlined in Section 4 of this procedures manual. The Facility Manager/designee shall send notification and supporting documentation to the facility PCM within five working days of the receipt of the allegation. During the pre-audit the facility provided a copy of notification to another facility within the time frames noted in policy. In the past 12 months the facility received 5 allegations that an inmate was abused while confined at another facility. Through staff interviews, it was determined when the facility receives an allegation from another facility or agency that an incident of sexual abuse or sexual harassment occurred within their facility, the allegation is fully investigated in accordance with standards.

115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008 states upon learning of an allegation that an inmate was sexually abused, the first staff member to respond shall follow the procedures below. Security staff shall: a. notify the Shift Commander; b. immediately separate the alleged victim and alleged abuser; c. secure any reported crime scene until appropriate steps can be taken to collect evidence; and d. if the abuse occurred within the last 96 hours that still allows for the collection of physical evidence, request the alleged victim and ensure that the alleged abuser not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. Non-Security staff shall: a. immediately notify the Shift Commander; and b. if the first staff responder is not a security staff member, the responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence. During the past 12 there has been only one instance where staff were notified within the time period that allowed for collection of evidence. During the pre-audit the facility provided response pocket cards to staff as well as checklists for an initial response. During the onsite audit, documentation of responses to allegations were reviewed. In the instance the staff were notified within the time period allowed for evidence collection, the staff responded according to policy. Through interviews with inmates and staff, it was confirmed that staff have responded timely to all reports of sexual abuse. Staff are aware that the victim and the abuser are to be separated. The are also aware that evidence is to be preserved.

115.65	Coordinated response
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 4 states the facility shall develop a written facility plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, medical and mental health practitioners, investigators, and facility leadership. DC-ADM 008 SMR 001 states the purpose of this policy is to establish a consistent process for SCI-Somerset to detect, respond to, and prevent sexual abuse and sexual harassment within our facility. SCI Somerset adheres to a zero tolerance policy with respect to Sexual Abuse or Sexual Harassment. During an interview conducted with the Deputy Superintendent confirmed the facility has developed and adheres to a written institutional plan to coordinate actions taken in response to an incident of sexual abuse.

115.66	Preservation of ability to protect inmates from contact with abusers
	Auditor Overall Determination: Audited at Agency Level
	Auditor Discussion
	The responsibility for compliance of 115.66 rests with the agency, not the facility.

115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	The Department shall protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff. Retaliatory action is prohibited against an inmate, staff member, or other individual who reports sexual abuse, sexual harassment, or provides information during an investigation. Any individual, who seeks to deter an inmate or other individual from reporting sexual activity, or who in any manner, harasses or intimidates any person who reports the alleged contact is subject to discipline. The Deputy Superintendent for Centralized Services (DSCS) shall meet with any staff that require retaliation monitoring due to report of sexual abuse or sexual harassment, or because of an expressed fear of retaliation due to cooperation with an investigation of inmate sexual abuse or sexual harassment, per PREA Standard (28 C.F.R. §115.67[a][c][e]) and in accordance with Section 3 of this procedures manual. Contact shall be made with the identified staff for at least 90 days and documented on the Department Retaliation Monitoring Form (refer to Section 2, Attachment 2-B). The Department shall employ multiple protection measures, such as housing changes or transfer for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victim. The Department shall also make available emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment, or for cooperating with investigations. For at least 90 days following a report of sexual abuse, the Department shall monitor the conduct and treatment of inmates or staff who reported the sexual abuse or sexual harassment, and of inmates who were reported to have suffered sexual abuse or sexual harassment to see if there are changes that may suggest possible retaliation by inmates or staff and shall act promptly to remedy such retaliation. Items the Department shall monitor include: a. disciplinary reports; b. housing reports; c. program changes; d. negative performance reviews; and e. reassignments of staff. The Department shall continue monitoring beyond 90 days if the initial monitoring indicates a continuing need. Additionally, if any other individual who cooperates with an investigation expresses fear of retaliation, the Department shall take appropriate measures to protect that individual against retaliation.

	Retaliation monitoring shall be documented on the Department Retaliation Monitoring Form (refer to Section 2, Attachment 2-B), and maintained in accordance with Section 1 of this procedures manual. The Department shall continue to monitor for retaliation upon inter-facility transfer. The PCM/designee shall be responsible for forwarding the Department Retaliation Monitoring Form to the receiving facility's PCM/designee for continuation of the monitoring obligation. The Department's obligation to monitor shall terminate if the inmate is released from custody or the investigation is determined to be unfounded. During the pre-audit the facility provided documentation confirming the facility monitors retaliation and protective measures are taken to ensure those that report sexual abuse or sexual harassment are free from retaliation. At SCI Somerset, the PCM is responsible for monitoring possible retaliation. During interviews with staff, it was confirmed that the facility employs multiple protection measures including a 90 day monitor of the conduct or treatment of those who were reported to have suffered sexual abuse. Staff at SCI Somerset conduct periodic status checks with inmates in addition to the required monitoring, and document all protective measures taken.

115.68	**Post-allegation protective custody**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Inmates at a high risk for sexual victimization or inmates who have allegedly suffered sexual abuse shall not be placed involuntarily in Administrative Custody (AC) as a means of protection unless an assessment of all available alternatives has been made by Psychology and Security staff in conjunction with the Facility Manager/designee, and a determination has been made that there is no other available alternative means of separation from likely abusers. If the facility cannot conduct the assessment immediately, the facility may hold the inmate in involuntary AC for less than 24 hours while completing the assessment. During the pre-audit the facility provided a copy of the involuntary administrative custody services access restriction form noting inmates held in involuntary administrative custody must be afforded access to programs, privileges, education and work opportunities. In the last 12 months there have been no inmates who were held in involuntary segregated housing who have alleged to have suffered sexual abuse. During interviews with staff, inmates are housed in general population in another housing unit for inmates who allege to have suffered sexual abuse. If involuntary segregated housing is unavoidable, staff must document the services that were denied and the reason for the denial.

115.71	Criminal and administrative agency investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 5 states every report, complaint, or allegation of sexual abuse and sexual harassment, including third party and anonymous reports, shall be investigated promptly, thoroughly, and objectively. DC-ADM 008 SMR 001 states investigations shall be conducted according to the DC-ADM 008, Prison Rape Elimination Act (PREA) Procedures Manual Section 5. Any allegations of conduct that appear to be criminal are referred for prosecution. SCI Somerset has not had any substantiated allegations of conduct that appear to be criminal. During the onsite audit investigation files were reviewed, including reports prior to 2017. During this review, it was confirmed the facility retains investigative information in a secure location for as long as the alleged abuser is incarcerated or employed by the Department. Reports included descriptions of the physical evidence and testimonial evidence, the reason behind the credibility assessments and the investigative facts and findings. Through interviews with inmates who allege to have suffered from sexual abuse, it was determined investigative staff do not require victims to take a polygraph examination as a condition for proceeding with the investigation. Through staff interviews, it was confirmed the facility would be informed on the progress of any investigations by email or phone contact. Investigators have received specialized training for conducting sexual abuse investigations in confinement settings. During investigations, investigators gather and preserve evidence and interview alleged victims, suspected perpetrators and witnesses and review any priors reports and complaints involving the suspected perpetrator.

115.72	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 5 states in administrative investigations, the Department shall impose no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated. During the interview with investigative staff, it was confirmed that a preponderance of evidence is used when determining whether to substantiate allegations of sexual abuse or sexual harassment. During the onsite audit, investigations reports were reviewed and it was confirmed that the facility uses the proper standard of proof for administrative findings.

115.73	Reporting to inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 3 states the PCMs shall coordinate the information flow to ensure the inmate receives information regarding the investigative outcome in a confidential and timely manner as to comply with Section 8 of this procedures manual. Following the investigation into an inmate's allegation of sexual abuse in a facility within the Department, the Prison Rape Elimination Act (PREA) Compliance Manager (PCM) at the facility where the inmate is housed shall inform the inmate, in writing within five business days of the closure of the investigation on the PREA Investigation – Inmate Notification Form as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded. If another agency conducts the investigation, the PCM shall request the relevant information from the investigative agency in order to inform the inmate within ten business days of receipt of this information. Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, the PCM shall subsequently inform the inmate when any of the following occurs: a. the staff member is no longer posted within the inmate's unit; b. the staff member is no longer employed at the facility; c. the Department learns that the staff member has been criminally charged related to sexual abuse within the facility; or d. the Department learns that the staff member has been convicted on a charge related to sexual abuse within the facility. Following an inmate's allegation that he/she has been sexually abused by another inmate, the PCM shall subsequently inform the alleged victim whenever: a. the Department learns that the alleged abuser has been criminally charged related to sexual abuse within the facility; or b. the Department learns that the abuser has been convicted on a charge related to sexual abuse within the facility. The PCM shall document all notifications on the PREA Investigation – Inmate Notification Form which shall be placed in the appropriate investigation file maintained in the facility Security Office in accordance with Section 1 of this procedures manual. All notifications must occur even in instances where an inmate has been transferred to another facility within the Department. In instances where the alleged victim has been transferred to another facility within the Department, the PCM at the investigating facility shall coordinate notification with the PCM at the facility where the inmate is currently housed to obtain the inmate's signature on the PREA Investigation – Inmate Notification Form.

	The Department's obligation to report the results of the investigation under this policy shall terminate if the alleged inmate victim is released from the Department's custody. During the last 12 months, the facility had no investigations of alleged inmate sexual abuse in the facility that were completed by an outside agency. During the past 12 months 116 notifications to inmates were provided and documented. The facility provided documentation confirming 116 notifications were made. The facility provided a copy of an inmate notification of staffing changes and staff separation due to claim signed by the PCM dated 1/19/17. The facility also provided a copy of a notification to an inmate of an investigation status. During the onsite audit, a sample of investigations were reviewed confirming inmates were notified of separation and investigation statuses. During interviews with inmates who reported a sexual abuse, the facility informed the inmate of the results of the investigation.

115.76	Disciplinary sanctions for staff
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 7 states any employee who violates the Department's zero tolerance policy by engaging in, failing to report, or knowingly condoning sexual abuse or sexual harassment of an inmate shall be subject to appropriate disciplinary or administrative action up to and including termination. All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, shall be reported to law enforcement agencies, unless the activity was not clearly criminal, and to any relevant licensing bodies. Policy 4.1.1 – 1 Human Resources and Labor Relations, Section 7 states Inmate Sexual Abuse: In accordance with the Prison Rape Elimination Act of 2003, Standard §115.76 (b), termination shall be the presumptive disciplinary sanction for staff who have engaged in sexual abuse. In accordance with the Prison Rape Elimination Act of 1993, Standard §115.76 (c), disciplinary sanctions for violations of agency policies related to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) shall be commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories. In the past 12 months, SCI Somerset has had no staff from the facility who have violated agency sexual abuse or sexual harassment policies or have been terminated for violating agency policy. In the past 12 months, SCI Somerset has had no staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies. In the past 12 months, SCI Somerset has had no staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies.

115.77	Corrective action for contractors and volunteers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 7 states contractors and volunteers are subject to the following: 1. when an allegation is made involving a contractor or volunteer, this person shall be removed from contact with the alleged victim until the conclusion of this investigation; 2. if a contractor or volunteer violates this procedures manual, other than by engaging in sexual abuse, the facility shall take appropriate remedial measures and shall consider whether to prohibit further contact with inmates and 3. any contractor or volunteer who engages in sexual abuse shall be prohibited from contact with inmates, and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies. During the past 12 months, SCI Somerset has had no contractors or volunteers who have been reported to law enforcement agencies or relevant licensing bodies for engaging in sexual abuse of inmates. During an interview with the Deputy Superintendent, it was confirmed that the facility takes appropriate remedial measures and prohibits further contact with inmates in any case of sexual abuse by a contractor or volunteer.

115.78	Disciplinary sanctions for inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 7 states inmates shall be subject to disciplinary sanctions pursuant to the formal disciplinary process, following an administrative finding that the inmate engaged in inmate on inmate sexual abuse or following a criminal finding of guilt for inmate on inmate sexual abuse. Sanctions shall commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories. The disciplinary process shall consider whether an inmate's mental disabilities or mental illness contributed to his/her behavior when determining what type of sanction, if any, should be imposed. When an inmate is found guilty of a Class 1 Misconduct related to sexual abuse, the Unit Manager shall refer the inmate to the Sex Offender Treatment Program for evaluation to determine whether or not the inmate is appropriate for the program, and if the inmate will be required to complete the program as part of the sanctions or as a condition to access programming or other benefits. The facility may discipline an inmate for sexual contact with staff only if it is substantiated that the staff member did not consent to such contact. For the purpose of disciplinary action, a report of sexual abuse made in good faith, based upon a reasonable belief that the alleged conduct occurred, shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation. The Department prohibits all sexual activity between inmates and may discipline inmates for such activity. The Department shall not deem such activity to constitute sexual abuse if the Department, through the investigative process, determines that the activity is not coerced or forced. A reporting inmate can only be subject to disciplinary action for sexual abuse or sexual harassment allegations which have been unfounded and for which the investigation was satisfactorily approved by the Office of Special Investigations and Intelligence (OSII). During the past 12 months, SCI Somerset has had no administrative and/or criminal findings of inmate on inmate sexual abuse that have occurred at the facility. Through staff interviews, it was confirmed that inmates would be subject to disciplinary sanctions pursuant to a formal disciplinary process. Prior inmate disciplinary history and the history of similar cases would be considered and policy guidelines would be followed. It was also confirmed SCI Somerset offers sex offender treatment programs to inmates as well as

	individual inmate counseling. During the pre-audit the facility provided sample copies of the facility offering therapy, counseling designed to address the underlying reasons or motivations for abuse.

115.81	Medical and mental health screenings; history of sexual abuse
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 2 states if the screening pursuant to PREA Standard 28 C.F.R. §115.41 indicates that a prison or jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, staff shall ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening or sooner, if clinically indicated. If the screening pursuant to PREA Standard 28 C.F.R. §115.41 indicates that a prison or jail inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, staff shall ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening, or sooner, if clinically indicated. In addition, when information becomes available relating to perpetration of inmate-on-inmate sexual abuse history, a mental health evaluation shall be conducted on these abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners. Any information related to sexual victimization or abusiveness occurring in an institutional setting shall be strictly limited to medical and mental health practitioners and other staff, as necessary, to inform treatment plans, security and management decisions, including housing, bed placement, work, education, and program assignments, or otherwise required by Federal, State, or local law. Medical and mental health practitioners shall obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18. The DC-484, Mental Health Informed Consent Form shall be used for this purpose in accordance with Department policy 13.8.1, “Access to Mental Health Care. If the inmate refuses to sign, it shall be noted on the DC-484 and signed by the witness and maintained in the medical record. During the pre-audit the facility provided documentation confirming mental health practitioner conducted follow up with an inmate who indicated a prior sexual victimization. The facility also provided documentation confirming follow up was conducted with inmates who previously perpetrated sexual abuse. In the last 12 months, SCI Somerset followed up with 100% of inmates who disclosed prior victimization during screening. In the past 12 months, SCI Somerset followed up with 100% of inmates who disclosed previously perpetrating sexual abuse. During the onsite audit, samples of inmate confinement records were reviewed confirming information related to sexual victimization or abusiveness that occurred in an institutional setting is limited to medical and mental health professionals. Through interviews with staff and inmates, it was confirmed that inmates who disclose victimization and those who have perpetrated sexual abuse were offered a follow up meeting

	with medical and mental health staff within 14 days. Medical and mental health staff obtain informed consent prior to reporting about prior sexual victimization that did not occur in an institutional setting.

115.82	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 4 states alleged inmate victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment. If no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders shall take preliminary steps to protect the alleged victim, in accordance with Prison Rape Elimination Act (PREA) Standard 28 C.F.R. §115.62 and shall immediately notify the appropriate medical and mental health practitioners. Treatment services shall be provided to the alleged victim without financial cost and regardless of whether the alleged victim names the abuser or cooperates with any investigation arising out of the incident. All facilities shall provide alleged victims with medical and mental health services consistent with the community level of care. Through staff and inmate interviews, it was confirmed that inmate victims of sexual abuse receive timely and unimpeded access to emergency treatment and crisis intervention services. If the abuse occurred within 96 hours, the inmate would be seen by medical staff at the facility and then immediately transferred to the hospital. Inmates receive treatment based on the medical and/or mental health staff's professional opinion. Victims of sexual abuse are offered timely information about access to emergency contraception and sexually transmitted infection prophylaxis.

115.83	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 4 states the facility shall offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have allegedly been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility. Alleged inmate victims of sexual abuse while incarcerated shall be offered tests for sexually transmitted infections, as medically appropriate. Treatment services shall be provided to the alleged victim without financial cost and regardless of whether the alleged victim names the abuser or cooperates with any investigation arising out of the incident. DC-ADM 008, Section 2 states when information becomes available relating to perpetration of inmate-on-inmate sexual abuse history, a mental health evaluation shall be conducted on these abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners. During the pre-audit, the facility provided documentation confirming the facility offers medical and mental health evaluation and treatment to all inmates who have been victimized by sexual abuse in any prison or jail. The facility also provided documentation confirming the facility attempts to conduct a mental health evaluation of all known inmate on inmate abusers within 60 days of learning of such abuse history and offers treatment by mental health practitioners. During interviews with staff it was confirmed that the facility provides services on an ongoing basis in both an individual and group setting and upon transfer. Community services are also provided to an inmate. Staff indicated attempts are made to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and treatment if offered within 24 hours of learning about the abuse history of such inmate. During an interview with an inmate who reported a sexual abuse, the inmate was offered tests for sexually transmitted infections as medically appropriate. The inmate also indicated the facility did not require payment for any treatment related to this incident of sexual abuse. SCI Somerset does not house female inmates, thus, PREA Standard 115.83 (d)-1 and 115.83 (e)-1 are not applicable.

115.86	Sexual abuse incident reviews
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	DC-ADM 008, Section 6 states each facility shall conduct a Sexual Abuse Incident Review (SAIR) at the conclusion of every sexual abuse investigation where the allegation was substantiated or unsubstantiated whether the investigation was conducted by the facility Security Office or by the Office of Special Investigations and Intelligence (OSII). No review will be conducted if the allegation has been determined to be unfounded. The review shall occur within 15 working days of the receipt of the notification from OSII that the investigation was deemed satisfactory. The Prison Rape Elimination Act (PREA) Compliance Manager (PCM) shall chair the SAIR Committee. The PCM, in collaboration with the Facility Manager, shall determine the exact composition of the committee based on the nature of the incident. At a minimum, the SAIR Committee shall consist of the following: a. Deputy Superintendent for Centralized Services (DSCS); b. Deputy Superintendent for Facilities Management (DSFM); c. Licensed Psychology Manager (LPM)/designee; d. Corrections Health Care Administrator (CHCA)/designee; e. Security Office representative; and f. Major of Unit Management or Major of the Guard. The committee shall carefully review the documentation surrounding the incident. The review shall focus upon the events associated with the incident. At a minimum, the committee shall consider the items outlined in the PREA Sexual Abuse Incident Review (Attachment 6-A) as well as the following information: a. consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse; b. consider whether the incident or allegation was motivated by race, ethnicity, gender identity, lesbian, gay, bisexual, transgender or intersex identification, status or perceived status, gang affiliation, or was motivated or otherwise caused by other group dynamics at the facility; c. examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; d. assess the adequacy of staffing levels in that area during different shifts; e. assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; f. consider information such as housing assignment, measures taken as a result of the allegation, need for follow-up for the inmate victim, etc.; and g. gather information that can help to sensitize staff to possible clues and situations that are present before such incidents may occur. The aim is to help all staff become more proficient at detecting preventable incidents before they occur. In the past 12 months, there were 25 cases of criminal and/or administrative investigations of alleged sexual abuse completed at the facility, excluding unfounded incidents. The review

	team included upper level management officials and allowed input from line staff, investigators, and medical or mental health practitioners. During the pre-audit the facility provided documentation of several cases of completed incident reviews within 30 days of the conclusion of the abuse investigation. During the onsite audit, a sampling of investigations and meeting minutes were reviewed. During interviews with staff, it was confirmed the facility conducts incident reviews after sexual abuse investigation has concluded and excludes unfounded cases. The incident review team includes upper-level management, and allows for input from line supervisors, investigators, and medical or mental health practitioners. The review team discusses how the facility can improve and better implement policy and responses to sexual abuse, any physical plant changes needed and how the facility can better respond to incidents. There is also communication on how the facility can eliminate retaliation and reduce the number false allegations. The review team; considers possible motivations for the abuse and whether physical barriers may have enabled the abuse. They also access the adequacy of staffing levels during different shifts in that area, accesses whether monitoring technology should be deployed or augmented to supplement supervision by staff. After the facility incident review, the PCM submits a report of the findings to the PREA Coordinator. The facility also implements the recommendations for improvement and documents its reasons if the recommendations were not implemented.

115.87	Data collection
	Auditor Overall Determination: Audited at Agency Level
	Auditor Discussion

115.88	Data review for corrective action
	Auditor Overall Determination: Audited at Agency Level
	Auditor Discussion

115.89	Data storage, publication, and destruction
	Auditor Overall Determination: Audited at Agency Level
	Auditor Discussion

115.401	Frequency and scope of audits
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	SCI Somerset provided the auditor with full access to all areas of the audited facility. The facility provided the auditor with copies of any requested documents during the onsite audit as well as information requested electronically. All requested interviews with inmates were accommodated and were conducted in a private setting. During the onsite audit, information about the PREA audit was posted in all housing units as well as various other areas of the facility. Inmates interviewed stated the notification of the PREA audit were posted in several areas of the institution. Methods for sending confidential information or correspondence to the auditor were included on the posting. Inmates housed at SCI Somerset did utilize the information provided to send correspondence to the auditor.

115.403	Audit contents and findings
	Auditor Overall Determination: Audited at Agency Level
	Auditor Discussion

Appendix: Provision Findings

115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes

115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes

115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes

115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates OR the response to 115.12(a)-1 is "NO".)	yes

115.13 (a)	Supervision and monitoring	
	Does the agency ensure that each facility has developed a staffing plan that provides for adequate levels of staffing and, where applicable, video	yes

	monitoring, to protect inmates against sexual abuse?	
	Does the agency ensure that each facility has documented a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration the generally accepted detention and correctional practices in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration any judicial findings of inadequacy in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration any findings of inadequacy from Federal investigative agencies in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration any findings of inadequacy from internal or external oversight bodies in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration all components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated) in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration the composition of the inmate population in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration the number and placement of supervisory staff in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration the institution programs occurring on a particular shift in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration any applicable State or local laws, regulations, or standards in calculating adequate staffing levels and determining the need for video monitoring?	yes

	Does the agency ensure that each facility's staffing plan takes into consideration the prevalence of substantiated and unsubstantiated incidents of sexual abuse in calculating adequate staffing levels and determining the need for video monitoring?	yes
	Does the agency ensure that each facility's staffing plan takes into consideration any other relevant factors in calculating adequate staffing levels and determining the need for video monitoring ?	yes

115.13 (b)	**Supervision and monitoring**	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	yes

115.13 (c)	**Supervision and monitoring**	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes

115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na

115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na

115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na

115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes

115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates in non-exigent circumstances? (N/A here for facilities with less than 50 inmates before August 20,2017.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A here for facilities with less than 50 inmates before August 20,2017.)	na

115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates?	yes

115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility implement a policy and practice that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes

115.15 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes

115.15 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all	yes

	aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes

115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes

115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes

115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes

115.17 (b)	Hiring and promotion decisions	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates?	yes

115.17 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with inmates, does the agency: perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency: consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes

115.17 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes

115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes

115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes

115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes

115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na

115.18 (b)	Upgrades to facilities and technologies	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	yes

115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes

115.21 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes

115.21 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes

115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member?	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes

115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes

115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating entity follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes

115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.21(d) above.)	na

115.22 (a)	Policies to ensure referrals of allegations for investigations	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes

115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes

115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does such publication describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes

115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes

115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes

115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes

115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes

115.32 (a)	Volunteer and contractor training	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes

115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes

115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes

115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes

115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes

115.33 (c)	Inmate education	
	Have all inmates received such education?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes

115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes

115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes

115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes

115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators have received training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment?	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse?	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment?	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment?	yes

115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams.)	na

115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere?	yes

115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31?	yes
	Do medical and mental health care practitioners contracted by and volunteering for the agency also receive training mandated for contractors and volunteers by §115.32?	yes

115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes

115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes

115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes

115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes

115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: history of prior institutional violence or sexual abuse?	yes

115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes

115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a: Referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a: Request?	yes
	Does the facility reassess an inmate's risk level when warranted due to a: Incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to a: Receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes

115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes

115.41 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates?	yes

115.42 (a)	Use of screening information	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes

115.42 (b)	Use of screening information	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes

115.42 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems?	yes

115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes

115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes

115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes

115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status?	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status?	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status?	yes

115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes

115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The opportunities that have been limited?	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The duration of the limitation?	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The reasons for such limitations?	yes

115.43 (c)	Protective Custody	
	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes

115.43 (d)	Protective Custody	
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes

115.43 (e)	Protective Custody	
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes

115.51 (a)	Inmate reporting	
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes

115.51 (b)	Inmate reporting	
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain anonymous upon request?	yes
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security?	yes

115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes

115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes

115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes

115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	na
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	na

115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	na
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	na

115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	na
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	na
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	na

115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	na
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	na
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	na

115.52 (f)	Exhaustion of administrative remedies	
	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.).	na
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	na
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na

115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	na

115.53 (a)	Inmate access to outside confidential support services	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies?	yes
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes

115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes

115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes

115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes

115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes

115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes

115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes

115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes

115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes

115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes

115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes

115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes

115.63 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes

115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes

115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes

115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes

115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes

115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes

115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes

115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes

115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes

115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes

115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes

115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes

115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes

115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes

115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes

115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes

115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes

115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes

115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes

115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes

115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes

115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes

115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes

115.73 (b)	Reporting to inmates	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	yes

115.73 (c)	Reporting to inmates	
	Following a inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following a inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following a inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following a inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes

115.73 (d)	Reporting to inmates	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes

115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes

115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes

115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes

115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes

115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes

115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes

115.77 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes

115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes

115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes

115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes

115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes

115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes

115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes

115.78 (g)	Disciplinary sanctions for inmates	
	Does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes

115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening?	yes

115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes

115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening?	yes

115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes

115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	yes

115.82 (a)	Access to emergency medical and mental health services	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes

115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes

115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes

115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes

115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes

115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes

115.83 (c)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes

115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if all-male facility.)	na

115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if all-male facility.)	na

115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes

115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes

115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes

115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes

115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes

115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes

115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes

115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes

115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes

115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes

115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes